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State Water Resources Control Board

**NOTICE OF SUPPLEMENTAL PUBLIC HEARING  
and  
PRE-HEARING CONFERENCE**

Pursuant to State Water Resources Control Board Order 2012-0012  
A Supplemental Public Hearing will be held for Order WR 2011-0005  
which issued a

**Cease and Desist Order (CDO)**  
against  
**Woods Irrigation Company**

Middle River in San Joaquin County

The **Pre-Hearing Conference**  
will commence on  
**Thursday, January 15, 2015, at 9:00 a.m.**

The **Public Hearing** will commence on  
**June 8, 2015 and continue, if necessary,  
on June 9, 10, 11, 2015  
at 9:00 a.m.**

in the Coastal Hearing Room  
Joe Serna, Jr.-Cal/EPA Building  
1001 I Street, Second Floor  
Sacramento, CA

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**PURPOSE OF HEARING**

The purpose of this hearing is for Woods Irrigation Company's (Woods) customers<sup>1</sup> to participate as parties, call witnesses, and cross-examine witnesses that have already testified on behalf of other parties, in order to supplement the evidentiary record with evidence of water rights held by the Woods' customers. The additional evidence will be used for the purpose of considering whether to adopt an order revising Order [WR 2011-0005](#).

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<sup>1</sup> In Order WR 2012-0012, although the State Water Board referred to petitioners R.D.C. Farms, Inc., Ronald & Janet Del Carlo, Eddie Vierra Farms, LLC, Dianne E. Young, and Warren P. Schmidt, Trustee of the Schmidt Family Revocable Trust, as Woods' "Customers", participation in the proposed hearing will not be limited to only these petitioners. Woods' customers include landowners within Woods' service area.

## **BACKGROUND**

Woods is an irrigation company that diverts water from Middle River in San Joaquin County, conveys the water to its customers within a service area on Middle Roberts Island, and provides drainage services to a slightly larger area on Middle Roberts Island. Woods owns the pumps used to divert water from the Middle River and operates the irrigation and drainage system.

On December 28, 2009, the Assistant Deputy Director for Water Rights issued notice of a proposed Cease and Desist Order (CDO) to Woods. By letter dated January 11, 2010, Woods requested a hearing. On June 7, 10, 24, 25, 28 and July 2, 2010, the State Water Resources Control Board (State Water Board) held a hearing on the proposed CDO. On February 1, 2011, the State Water Board adopted Order WR 2011-0005, which was not binding upon the Woods' customers. However, Order WR 2011-0005 was the subject of petitions for reconsideration filed by certain Woods' customers (see footnote 1), and by Woods, the South Delta Water Agency (SDWA) and the Central Delta Water Agency (CDWA). On August 7, 2012, the State Water Board adopted Order [WR 2012-0012](#) granting, in part, reconsideration of Order WR 2011-0005. Condition No.1 of the Order states:

The CDO adopted by Order WR 2011-0005, at pp. 61-63, is hereby rescinded. A hearing shall be scheduled to allow Woods' customers to participate as parties, call witnesses, and cross-examine witnesses that have already testified on behalf of other parties in order to supplement the evidentiary record with evidence of water rights held by the Woods' customers. The additional evidence will be used for the purpose of considering whether to adopt an order revising Order WR 2011-0005.

Litigation: Certain Woods' customers also filed suit against the State Water Board. ([Young v. State Water Resources Control Board \(Super. Ct. San Joaquin County, 2011, No. 39-2011-00259191-CU-WM-STK\)](#).) The plaintiffs alleged that the State Water Board violated their procedural due process rights in the hearing and CDO adoption because they were not individually noticed and were not granted party status at the hearing. The State Water Board rendered the due process issue moot by granting, in part, the petition for reconsideration to reopen the hearing in the enforcement proceeding against Woods to allow the customers of Woods to present new evidence and cross-examine witnesses.

The plaintiffs also alleged that the State Water Board lacked jurisdiction to determine whether allegedly unauthorized diversions are in fact unauthorized, if the diverters claim they have riparian or pre-1914 appropriative rights.<sup>2</sup> The Superior Court granted petition for writ of mandate. The State Water Board appealed the Superior Court's judgment on the merits. All parties agreed to postpone reopening the hearing until the appeals process was completed. On September 4, 2013, the Third District Court of Appeal issued an opinion upholding the State Water Board's authority and reversed the Superior Court's judgment.<sup>3</sup> The *Young* petitioners

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<sup>2</sup> The *Young* case was a challenge to the CDO adopted by State Water Board Order WR 2011-0005. In the CDO, the State Water Board evaluated Woods' claims that its diversions were authorized by riparian and pre-1914 appropriative rights, determined that Woods' diversion of water at a rate in excess of 77.7 cubic feet per second (cfs) was not authorized by valid water rights, and directed Woods not to divert water from the Middle River at a rate in excess of 77.7 cfs.

<sup>3</sup> The Third District Court of Appeal decision was based primarily on the plain language of Water Code section 1831, which authorizes the State Water Board to issue an administrative CDO when the State Water Board determines that a person is violating or threatening to violate certain requirements, including the statutory prohibition against the unauthorized diversion or use of water. The Court pointed out that section 1831 expressly vests authority in the State Water Board to determine whether a diversion and use is unauthorized, and reasoned that in order to make this  
(footnote continued on next page)

sought review by the California Supreme Court or, alternatively, de-publication of the Court of Appeal's decision to limit its precedential effect. In November 2013, the California Supreme Court denied review of the Court of Appeal's decision.

Accordingly, the administrative proceeding ordered by Order WR 2012-0012 can now move forward.

### **KEY ISSUES**

The State Water Board seeks supplemental information from Woods' customers that specifically addresses the following issues:

- 1) Should the original terms of Order WR 2011-0005 be modified or re-adopted based on supplemental evidence, cross examination, or arguments that arise as a result of the supplemental hearing; and
- 2) What, if any, evidence is available to substantiate valid water rights held by Woods' customers beyond the evidence that was provided during the hearing in 2010?

### **HEARING OFFICERS AND HEARING TEAM**

State Water Board Vice-Chair Frances Spivy-Weber and Member Steven Moore will preside as the hearing officers for this proceeding. A hearing team will assist the hearing officers by providing legal and technical advice. The hearing team members will be Marianna Aue, Staff Counsel, and Ernest Mona, Staff Engineer. The hearing team and their supervisors will assist the hearing officers and other members of the State Water Board throughout this proceeding.

### **SEPARATION OF FUNCTIONS**

A staff prosecution team may be a party to this hearing.

The prosecution team is separated from the hearing team and is prohibited from having *ex parte* communications with any members of the State Water Board and any members of the hearing team regarding substantive issues and controversial procedural issues within the scope of this proceeding. This separation of functions also applies to the supervisors of each team. (Gov. Code, §§ 11430.10-11430.80.)

### **HEARING PARTICIPATION**

As ordered in WR 2012-0012, the Woods Irrigation Company CDO Hearing is being reopened for the limited purpose of permitting Woods' customers to present additional evidence. The Woods' customers within Woods' service area shall be given notice of the hearing and may file a **Notice of Intent to Appear**. The Woods' customers who file a timely Notice of Intent to Appear, may present evidence, cross-examine witnesses who have testified in the hearing, and present arguments.

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*(footnote continued from previous page)*

determination it may be necessary for the State Water Board to make the threshold determination whether the diversion and use is authorized by a valid riparian or pre-1914 appropriative right. (*Young v. State Water Resources Control Board* (2013), 219 Cal.App.4<sup>th</sup> 397, 405-07.)

IF YOU ARE A CUSTOMER OF WOODS IRRIGATION DISTRICT AND WANT TO TAKE PART IN THIS HEARING, you should carefully read the enclosure entitled "Information Concerning Appearance at Water Right Hearings." As stated in that enclosure, Woods' customers wishing to present evidence at the hearing must submit a **Notice of Intent to Appear**. All Notices of Intent to Appear must be **received** by the State Water Board no later than the deadline listed below.

Within one week after the deadline to submit Notices of Intent to Appear, the State Water Board will mail out a revised list of hearing participants and a copy of all Notices of Intent to Appear that the State Water Board timely received. The List of Participants is provided in order to facilitate exchange of written testimony, exhibits, and witness qualifications in advance of the hearing. Parties identified on the List of Participants will be required to attend the pre-hearing conference discussed below. Woods' customers are the only parties who will be allowed to present evidence. Participation by current parties will be limited only to cross-examination and rebuttal of new evidence, and any redirect examination permitted by the hearing officers. Copies of witnesses' proposed **testimony, exhibits, lists of exhibits, qualifications, and statement of service** must be **received** by the State Water Board and served on each of the parties who have indicated their intent to appear, no later than the deadline listed below.

### **SUBMITTAL DEADLINES**

**12:00 noon, Monday, December 15, 2014**

Deadline for receipt of Notice of Intent to Appear.

**12:00 noon, Friday, May 8, 2015**

Deadline for receipt and service of witnesses' proposed testimony, exhibits, lists of exhibits, qualifications, and statement of service.

### **PRE-HEARING CONFERENCE**

The hearing officers will conduct a pre-hearing conference to discuss the scope of the hearing and any other procedural issues on **Thursday, January 15, 2015 at 9:00 a.m.** The goal of the pre-hearing conference is to ensure that the hearing proceeds in an orderly and expeditious manner. The pre-hearing conference will not be used to hear arguments on, or determine the merits of, any hearing issues, other than procedural matters, unless the parties agree to resolve a hearing issue by stipulation. Following the pre-hearing conference, the hearing officers may, at their discretion, modify the hearing procedures or issues set forth in this notice in whole or in part. All parties to the hearing must attend the pre-hearing conference. Failure to attend the pre-hearing conference may result in exclusion from participation in the hearing.

### **SUBMITTALS TO THE STATE WATER BOARD**

All documents, including Notices of Intent to Appear, written testimony, and other exhibits submitted to the State Water Board should be addressed as follows:

Division of Water Rights  
State Water Resources Control Board  
Attention: Ernest Mona

By Mail: P.O. Box 2000, Sacramento, CA 95812-2000

By Hand Delivery: Joe Serna, Jr.-Cal/EPA Building  
1001 I Street, 2<sup>nd</sup> Floor, Sacramento, CA 95814

By Fax: (916) 341-5400  
By Email: [wrhearing@waterboards.ca.gov](mailto:wrhearing@waterboards.ca.gov)  
With Subject of “**Woods Supplemental Hearing**”

**ALL HAND DELIVERED SUBMITTALS** should be Date and Time stamped by the Division of Water Rights’ Records Unit on the second (2<sup>nd</sup>) floor of the Joe Serna, Jr.-Cal/EPA Building at the above address prior to or at the submittal deadline. Persons delivering submittals must first check in with lobby security personnel on the first floor. Hand delivered submittals that do not have a timely Date and Time stamp by the Division of Water Rights’ Records Unit will be considered late and may not be accepted by the hearing officers.

### **SETTLEMENTS**

Please read the discussion of “Settlements” in the enclosure entitled “Information Concerning Appearance at Water Right Hearings”. The prosecution team and any other parties or potential parties, at their discretion, may engage in settlement discussions. Due to the separation of functions discussed above, **the hearing team cannot participate** in settlement discussions. Should the parties reach settlement, they should notify the hearing team as soon as possible.

### **REQUESTS FOR DELAY**

On October 15, 2014, the [Hearing Officers issued a ruling](#) on comments regarding an advance Courtesy Notice of Tentative Dates for a Supplemental Hearing and Pre-Hearing Conference related to Order WR 2012-0012. The Hearing Officers ruled that: (1) there shall be no change to the scheduled date of January 15, 2015 for the Pre-Hearing Conference; and (2) an additional opportunity will be allowed for submittal of requests to change the scheduled dates of June 8, 9, 10, 11, 2015 for the Supplemental Hearing.

The State Water Board has already rescheduled the Supplemental Hearing once. The State Water Board will only consider alternative dates for the Supplemental Hearing for good cause not previously addressed and considered by its October 15, 2014 ruling.

### **IF YOU HAVE ANY QUESTIONS**

During the pendency of this proceeding, there shall be no *ex parte* communications regarding substantive or controversial procedural matters within the scope of the proceeding between State Water Board members or hearing team members and any of the other participants, including members of the prosecution team. (Gov. Code, §§ 11430.10-11430.80.) Questions regarding non-controversial procedural matters should be directed to Staff Counsel Marianna Aue at (916) 327-4440 ([Marianna.Aue@waterboards.ca.gov](mailto:Marianna.Aue@waterboards.ca.gov)), or Staff Engineer Ernest Mona at (916) 341-5359 ([Ernie.Mona@waterboards.ca.gov](mailto:Ernie.Mona@waterboards.ca.gov)). (Gov. Code, § 11430.20, subd. (b).)

This notice and other material related to this hearing can be found at the Division’s project dedicated website:  
[http://www.waterboards.ca.gov/waterrights/water\\_issues/programs/hearings/woods\\_irrigation/index.shtml](http://www.waterboards.ca.gov/waterrights/water_issues/programs/hearings/woods_irrigation/index.shtml)

**PARKING, ACCESSIBILITY AND SECURITY**

A map to the Joe Serna Jr./Cal-EPA Building and parking information are available at <http://www.calepa.ca.gov/EPABldg/location.htm>. The Cal/EPA Building is accessible to people with disabilities. Individuals who require special accommodations at the Cal/EPA Building are requested to contact Tanya Cole, Equal Employment Opportunity Office, at (916) 341-5880.

Due to enhanced security precautions at the Cal/EPA Building, all visitors are required to register with security staff prior to attending any meeting. To sign in and receive a visitor's badge, visitors must go to the Visitor and Environmental Services Center, located just inside and to the left of the building's public entrance. Depending on their destination and the building's security level, visitors may be asked to show valid picture identification. Valid picture identification can take the form of a current driver's license, military identification card, or state or federal identification card. Depending on the size and number of meetings scheduled on any given day, the security check-in could take up to fifteen minutes. Please allow adequate time to sign in before being directed to the hearing.

\_\_\_\_\_  
November 10, 2015  
Date

  
\_\_\_\_\_  
Jeanine Townsend  
Clerk to the Board

Enclosures

## **INFORMATION CONCERNING APPEARANCE AT WATER RIGHT HEARINGS**

The following procedural requirements will apply and will be strictly enforced:

- 1. HEARING PROCEDURES GENERALLY:** The hearing will be conducted in accordance with the procedures for hearings set forth at California Code of Regulations, title 23, sections 648-648.8, 649.6 and 760, as they currently exist or may be amended. A copy of the current regulations and the underlying statutes governing adjudicative proceedings before the State Water Board is available upon request or may be viewed at the State Water Board's web site: [http://www.waterboards.ca.gov/laws\\_regulations](http://www.waterboards.ca.gov/laws_regulations)

Unless otherwise determined by the hearing officers, each new party to the reopened hearing may make an opening statement, call and examine witnesses, introduce exhibits, cross-examine opposing witnesses on any matter relevant to the issues even if that matter was not covered in the direct examination, impeach any witness, rebut adverse evidence, and subpoena, call and examine an adverse party or witness as if under cross-examination. At the discretion of the hearing officers, parties may also be afforded the opportunity to present closing statements or submit briefs. The State Water Board encourages parties with common interests to work together to make the hearing process more efficient. The hearing officers reserve the right to issue further rulings clarifying or limiting the rights of any party where authorized under applicable statutes and regulations.

Parties must file any requests for exceptions to procedural requirements in writing with the State Water Board and must serve such requests on the other parties. To provide time for parties to respond, the hearing officers will rule on procedural requests filed in writing no sooner than fifteen days after receiving the request, unless an earlier ruling is necessary to avoid disrupting the hearing.

- 2. SETTLEMENTS:** In water right enforcement hearings, a State Water Board staff member or team prosecutes an alleged violation. In such enforcement cases, the prosecution and a party who is the subject of the proposed enforcement action may at their discretion engage in private settlement discussions, or may include other persons in those discussions. Although other persons may be authorized to participate in the hearing as parties, such a designation does not constitute a ruling that those persons must be allowed to engage in any settlement discussions between the prosecution and the party against whom the agency action is directed. The consent of other parties is not required before the State Water Board, or the Executive Director under State Water Board [Resolution No. 2012-0061](#), can approve a proposed settlement agreement between the prosecution and a party subject to a proposed enforcement action. However, all parties will be given the opportunity to comment on any settlement submitted to the State Water Board or the Executive Director for approval.

In non-enforcement hearings involving an unresolved protest between a protestant and a water right applicant or petitioner, those persons will be designated as parties in the hearing. (Cal. Code Regs., tit. 23, § 648.1, subd. (b).) Other persons who file a Notice of Intent to Appear in the hearing, may also be designated as parties. In such cases, the parties whose dispute originates the action may at their discretion meet privately to engage in settlement discussions, or may include other persons. If the original parties resolve the dispute, the hearing officers will determine whether or not to continue the hearing, after allowing all remaining parties the opportunity to comment on any proposed settlement. The Executive Director or the State Water Board may approve a settlement in the absence of a hearing, notwithstanding the lack of consent of parties besides the protestant and the applicant or petitioner.

3. **PARTIES:** The current parties to the hearing are **Division of Water Rights Prosecution Team, Woods Irrigation Company, South Delta Water Agency, Central Delta Water Agency, San Luis and Delta-Mendota Water Authority, Modesto Irrigation District, the San Joaquin County and the San Joaquin County Flood Control and Water Conservation District, and State Water Contractors.**

Additional parties may be designated in accordance with the procedures for this hearing. The hearing officers may impose limitations on a party's participation. (Gov. Code, § 11440.50, subd. (c).) Persons or entities who do not file a timely Notice of Intent to Appear may be designated as parties at the discretion of the hearing officers, for good cause shown, and subject to appropriate conditions as determined by the hearing officers.

4. **INTERESTED PERSONS:** Pursuant to California Code of Regulations, title 23, section 648.1, subdivision (d), the State Water Board will provide an opportunity for presentation of non-evidentiary policy statements or comments by interested persons who are not designated as parties. A person or entity that appears and presents only a policy statement is not a party and will not be allowed to make objections, offer evidence, conduct cross-examination, make legal argument or otherwise participate in the evidentiary hearing. Interested persons will not be added to the service list and will not receive copies of written testimony or exhibits from the parties, but may access hearing documents at the website listed in the hearing notice.

Policy statements are subject to the following provisions in addition to the requirements outlined in regulation. (Cal. Code Regs., tit. 23, § 648.1, subd. (d).)

- a. Policy statements are not subject to the pre-hearing requirements for testimony or exhibits, except that interested persons are requested to file a Notice of Intent to Appear, indicating clearly an intent to make a policy statement only.
- b. The State Water Board requests that policy statements be provided in writing before they are presented. Please see section 7, for details regarding electronic submittal of policy statements.

5. **NOTICE OF INTENT TO APPEAR:** Persons and entities who seek to participate as new parties in this reopened hearing must file either an electronic copy or a paper copy of a Notice of Intent to Appear, which must be **received** by the State Water Board no later than **the deadline prescribed in the Hearing Notice**. Failure to submit a Notice of Intent to Appear in a timely manner may be interpreted by the State Water Board as intent not to appear.

Any faxed or emailed Notices of Intent to Appear must be followed by a mailed or delivered hard copy with an original signature.

Interested persons who will not be participating as parties, but instead presenting only non-evidentiary policy statements should also file a Notice of Intent to Appear.

The Notice of Intent to Appear must state the name and address of the participant. Except for interested persons who will not be participating as parties, the Notice of Intent to Appear must also include: (1) the name of each witness who will testify on the party's behalf; (2) a brief description of each witness's proposed testimony; and (3) an estimate of the time (not to exceed the total time limit for oral testimony described in section 9, below) that the witness will need to present a brief oral summary of his or her prior-submitted written

testimony. (See section 6, below.) Parties who do not intend to present a case-in-chief but wish to cross-examine witnesses or present rebuttal should so indicate on the Notice of Intent to Appear.<sup>4</sup> Parties who decide not to present a case-in-chief after having submitted a Notice of Intent to Appear should notify the State Water Board and the other parties as soon as possible.

Parties who are not willing to accept electronic service of hearing documents should check the appropriate box on the Notice of Intent to Appear. (See section 7, below.)

The State Water Board will mail a service list of parties to each person who has submitted a Notice of Intent to Appear. The service list will indicate if any party is unwilling to accept electronic service. If there is any change in the hearing schedule, only those parties on the service list, and interested persons that have filed a Notice of Intent to Appear expressing their intent to present a policy statement only, will be informed of the change.

- 6. WRITTEN TESTIMONY AND OTHER EXHIBITS:** Exhibits include written testimony, statements of qualifications of expert witnesses, and other documents to be used as evidence. Each party proposing to present testimony on factual or other evidentiary matters at the hearing shall submit such testimony in writing.<sup>5</sup> Written testimony shall be designated as an exhibit, and must be submitted with the other exhibits. Oral testimony that goes beyond the scope of the written testimony may be excluded. A party who proposes to offer expert testimony must submit an exhibit containing a statement of the expert witness's qualifications.

Each party shall submit to the State Water Board **three (3) paper copies** and **one electronic copy** of each of its exhibits. With its exhibits, each party must submit a completed Exhibit Identification Index. Each party shall also serve a copy of each exhibit and the exhibit index on every party on the service list. A statement of service with manner of service indicated shall be filed with each party's exhibits.

The exhibits and indexes for this hearing, and a statement of service, must be **received by the State Water Board and served on the other parties no later than the deadline prescribed in the Hearing Notice**. The State Water Board may interpret failure to timely submit such documents as a waiver of party status.

All hearing documents that are timely received will be posted on the hearings program webpage identified in the hearing notice.

The following requirements apply to exhibits:

- a. Exhibits based on technical studies or models shall be accompanied by sufficient information to clearly identify and explain the logic, assumptions, development, and operation of the studies or models.
- b. The hearing officers have discretion to receive into evidence by reference relevant, otherwise admissible, public records of the State Water Board and documents or other

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<sup>4</sup> A party is not required to present evidence as part of a case-in-chief. Parties not presenting evidence as part of a case-in-chief will be allowed to participate through opening statements, cross-examination, and rebuttal, and may also present closing statements or briefs, if the hearing officers allow these in the hearing.

<sup>5</sup> The hearing officers may make an exception to this rule if the witness is adverse to the party presenting the testimony and is willing to testify only in response to a subpoena or alternative arrangement.

evidence that have been prepared and published by a public agency, provided that the original or a copy was in the possession of the State Water Board before the notice of the hearing is issued. (Cal. Code Regs., tit. 23, § 648.3.) A party offering an exhibit by reference shall advise the other parties and the State Water Board of the titles of the documents, the particular portions, including page and paragraph numbers, on which the party relies, the nature of the contents, the purpose for which the exhibit will be used when offered in evidence, and the specific file folder or other exact location in the State Water Board's files where the document may be found.

- c. A party seeking to enter in evidence as an exhibit a voluminous document or database may so advise the other parties prior to the filing date for exhibits, and may ask them to respond if they wish to have a copy of the exhibit. If a party waives the opportunity to obtain a copy of the exhibit, the party sponsoring the exhibit will not be required to provide a copy to the waiving party. Additionally, with the permission of the hearing officers, such exhibits may be submitted to the State Water Board solely in electronic form, using a file format readable by Microsoft Office 2003 software.
- d. Exhibits that rely on unpublished technical documents will be excluded unless the unpublished technical documents are admitted as exhibits.
- e. Parties submitting large format exhibits such as maps, charts, and other graphics shall provide the original for the hearing record in a form that can be folded to 8 ½ x 11 inches. Alternatively, parties may supply, for the hearing record, a reduced copy of a large format original if it is readable.

- 7. ELECTRONIC SUBMISSIONS:** To expedite the exchange of information, reduce paper use, and lower the cost of participating in the hearing, participants are encouraged to submit hearing documents to the State Water Board in electronic form and parties are encouraged to agree to electronic service.

Any documents submitted or served electronically must be in Adobe Portable Document Format (PDF), except for Exhibit Identification Indexes, which may be in a format supported by Microsoft Excel or Word. Electronic submittals to the State Water Board of documents less than 11 megabytes in total size (incoming mail server attachment limitation) may be sent via electronic mail to: [wrhearing@waterboards.ca.gov](mailto:wrhearing@waterboards.ca.gov) with a subject of **“Woods Supplemental Hearing”**. **Electronic** submittals to the State Water Board of documents greater than 11 megabytes in total size should be submitted on a compact disc (CD). Each electronically submitted exhibit must be saved as a **separate PDF file**, with the file name in lower case lettering without any spaces.

- 8. PRE-HEARING CONFERENCE:** At the hearing officers' discretion, a pre-hearing conference may be conducted before the proceeding to discuss the scope of the hearing, the status of any protests, and any other appropriate procedural issues.
- 9. ORDER OF PROCEEDING:** Hearing officers will follow the Order of Proceedings specified in California Code of Regulations, title 23, section 648.5. Participants should take note of the following additional information regarding the major hearing events. The time limits specified below may be changed by the hearing officers, for good cause.

- a. **Policy Statements Within the Evidentiary Hearing:** Policy statements will be heard at the start of the hearing, before the presentation of cases-in-chief. Oral summaries of the policy statements will be limited to **five (5) minutes** or such other time as established by the hearing officers.
- b. **Presentation of Cases-In-Chief:** Each party who so indicates on a Notice of Intent to Appear may present a case-in-chief addressing the key issues identified in the hearing notice. The case-in-chief will consist of any opening statement, oral testimony, introduction of exhibits, and cross-examination of the party's witnesses. The hearing officers may allow redirect examination and recross examination. The hearing officers will decide whether to accept the party's exhibits into evidence upon a motion of the party after completion of the case-in-chief.
  - i. **Opening Statements:** At the beginning of a case-in-chief, the party or the party's attorney may make an opening statement briefly and concisely stating the objectives of the case-in-chief, the major points that the proposed evidence is intended to establish, and the relationship between the major points and the key issues. Oral opening statements will be limited to **(20) minutes** per party. A party may submit a written opening statement before the hearing or during the hearing, prior to their case-in-chief. Any policy-oriented statements by a party should be included in the opening statement.
  - ii. **Oral Testimony:** All witnesses presenting testimony shall appear at the hearing. Before testifying, witnesses shall swear or affirm that the written and oral testimony they will present is true and correct. Written testimony shall not be read into the record. Written testimony affirmed by the witness is direct testimony. Witnesses will be allowed up to **(20) minutes** to summarize or emphasize their written testimony on direct examination. Each party will be allowed up to **one (1) hour total** to present all of its direct testimony.<sup>6</sup>
  - iii. **Cross-Examination:** Cross-examination of a witness will be permitted on the party's written submittals, the witness's oral testimony, and other relevant matters not covered in the direct testimony. (Gov. Code, § 11513, subd. (b).) If a party presents multiple witnesses, the hearing officers will decide whether the party's witnesses will be cross-examined as a panel. Cross-examiners initially will be limited to **one (1) hour** per witness or panel of witnesses. The hearing officers have discretion to allow additional time for cross-examination if there is good cause demonstrated in an offer of proof. Ordinarily, only a party or the party's representative will be permitted to examine a witness, but the hearing officers may allow a party to designate a person technically qualified in the subject being considered to examine a witness.
  - iv. **Redirect and Recross Examination:** Redirect examination may be allowed at the discretion of the hearing officers. Any redirect examination and recross examination permitted will be limited to the scope of the cross-examination and the redirect examination, respectively. The hearing officers may establish time limits for any permitted redirect and recross examination.

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<sup>6</sup> The hearing officers may, for good cause, approve a party's request for additional time to present direct testimony during the party's case-in-chief. The hearing officers may allow additional time for the oral direct testimony of the witness if the witness is adverse to the party presenting the testimony and the hearing officers are satisfied that the party could not produce written direct testimony for the witness.

- v. **Questions by State Water Board and Staff:** State Water Board members and staff may ask questions at any time and may cross-examine any witness.
- c. **Rebuttal:** After all parties have presented their cases-in-chief and their witnesses have been cross-examined, the hearing officers will allow parties to present rebuttal evidence. Rebuttal evidence is new evidence used to rebut evidence presented by another party.

Rebuttal testimony and exhibits need not be submitted prior to the hearing, although the hearing officers may require submittal of rebuttal testimony and exhibits before they are presented in order to improve hearing efficiency. Rebuttal evidence is limited to evidence that is responsive to evidence presented in connection with another party's case-in-chief, and it does not include evidence that should have been presented during the case-in-chief of the party submitting rebuttal evidence. It also does not include repetitive evidence. Cross-examination of rebuttal evidence will be limited to the scope of the rebuttal evidence.

- d. **Closing Statements and Legal Arguments:** At the close of the hearing or at other times, if appropriate, the hearing officers may allow oral closing statements or legal arguments or set a schedule for filing legal briefs or written closing statements. If the hearing officers authorize the parties to file briefs, three copies of each brief shall be submitted to the State Water Board, and one copy shall be served on each of the other participants on the service list. A party shall not attach a document of an evidentiary nature to a brief unless the document is already in the evidentiary hearing record or is the subject of an offer into evidence made at the hearing.

**10. EX PARTE CONTACTS:** During the pendency of this proceeding, commencing no later than the issuance of the Notice of Hearing, there shall be no *ex parte* communications with State Water Board members or State Water Board hearing team staff and supervisors, regarding substantive or controversial procedural issues within the scope of the proceeding. (Gov. Code, §§ 11430.10-11430.80.) **Any communications regarding potentially substantive or controversial procedural matters, including but not limited to evidence, briefs, and motions, must demonstrate that all parties were served and the manner of service.** Parties may accomplish this by submitting a proof of service or by other verification, such as correct addresses in an electronic-mail carbon copy list, or a list of the parties copied and addresses in the carbon copy portion of a letter. Communications regarding non-controversial procedural matters are permissible and should be directed to staff on the hearing team, not State Water Board members. (Gov. Code, § 11430.20, subd. (b).) A document regarding *ex parte* communications entitled "Ex Parte Questions and Answers" is available upon request or from our website at:  
[http://www.waterboards.ca.gov/laws\\_regulations/docs/exparte.pdf](http://www.waterboards.ca.gov/laws_regulations/docs/exparte.pdf).

**11. RULES OF EVIDENCE:** Evidence will be admitted in accordance with Government Code section 11513. Hearsay evidence may be used to supplement or explain other evidence, but over timely objection shall not be sufficient in itself to support a finding unless it would be admissible over objection in a civil action.

**NOTICE OF INTENT TO APPEAR**

\_\_\_\_\_ plans to participate in the water right hearing regarding  
(name of party or participant)

**Woods Supplemental Hearing**

**scheduled to commence  
June 8, 2015 at 9:00 a.m.  
and continuing, if necessary,  
on June 9, 10, and 11, 2015**

**Check all that apply:**

- I/we intend to present a policy statement only.
- I/we intend to participate by cross-examination or rebuttal only.
- I/we decline electronic service of hearing-related materials.
- I/we plan to call the following witnesses to testify at the hearing.

| NAME | SUBJECT OF PROPOSED TESTIMONY | ESTIMATED LENGTH OF DIRECT TESTIMONY | EXPERT WITNESS (YES/NO) |
|------|-------------------------------|--------------------------------------|-------------------------|
|      |                               |                                      |                         |
|      |                               |                                      |                         |
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|      |                               |                                      |                         |

(If more space is required, please add additional pages or use reverse side.)

Name, Address, Phone Number and Fax Number of Attorney or Other Representative:

Signature: \_\_\_\_\_ Dated: \_\_\_\_\_

Name (Print): \_\_\_\_\_

Mailing Address: \_\_\_\_\_

Phone Number: ( ) \_\_\_\_\_ Fax Number: ( ) \_\_\_\_\_

E-mail: \_\_\_\_\_

